



IWMF-LLS STRATEGIC RESEARCH ROADMAP INITIATIVE

2018 Request for Proposals

The International Waldenstrom's Macroglobulinemia Foundation (IWMF) and the Leukemia & Lymphoma Society (LLS) are proud to announce the fourth **IWMF-LLS STRATEGIC RESEARCH ROADMAP INITIATIVE – a Request for Proposals (RFP)** to help further knowledge in four key domains of Waldenstrom's macroglobulinemia (WM) research:

- Genomics and Epigenomics:

Mutations in signaling pathways that drive cancer provide an opportunity to develop targeted therapies to treat the disease. This is highly relevant to WM, since approximately 90% and 30% of WM patients have mutations in MYD88 and CXCR4, respectively. Moreover, since MYD88 activates interleukin-1 receptor-associated kinase and Bruton's tyrosine kinase (BTK), this helps to explain why WM patients have a major response to ibrutinib. Nevertheless, cures have not been achieved with ibrutinib.

Furthermore, patients with wild-type MYD88 and/or WHIM-mutated CXCR4 probably have lower response rates and slower response kinetics to ibrutinib. Patients with wild-type MYD88 disease also show differences in clinical presentation, including lower serum IgM and bone marrow disease burden, CD27+ expressing disease, and lymphocytosis, versus mutated MYD88 patients. The genetic basis for wild-type MYD88 disease remains unknown.

These data indicate that further genetic analyses, coupled with functional studies to understand the effects of mutations in WM, need to be explored further to 1) understand the basis of resistance to existing agents, 2) provide a mechanistic understanding that may justify combination of therapies, and 3) lead to the development of new therapeutics to specifically control or cure the disease.

Recently it has become clear that epigenetic regulators are also important in lymphomas and myelomas. However, relatively little is known about the epigenome in WM. Mutations or copy number losses affecting major chromatin remodeling proteins exist for WM, though their impact on specific gene dysregulation remains unclear. A comprehensive dissection of the epigenome of WM cells genotyped by MYD88 and CXCR4 mutation status will provide critical insights into cellular signaling and pathways for potential therapeutic exploitation.

It would be beneficial to develop reliable and sensitive methods to determine if and when patients might be able to terminate therapy if no disease is detected or to identify disease relapse early at the molecular level. For instance, a super-sensitive PCR-based technology to detect MYD88 mutations by utilizing peripheral blood samples would be useful and complement current methodologies that track disease status.

- Signaling:

Much of the knowledge for the signaling apparatus of MYD88 has been generated for wild-type MYD88. Knowledge of mutated Myddosome assembly and molecules involved in downstream signaling could help advance novel therapeutics. The creation of 3D-crystal structures of the mutated Myddosome, MYD88/IRAK complex, and MYD88/BTK complex can provide critical information for medicinal chemistry campaigns aimed at disrupting Myddosome assembly and signaling. Studies aimed at identifying signaling pathways associated with mutated MYD88 beyond BTK and IRAK, as well as identification of other pivotal scaffold and kinase nodal points in MYD88 signaling, are needed for advancing medicinal chemistry campaigns.

The functional consequences of CXCR4 WHIM mutations are poorly understood. Both AKT and ERK are hyperactivated in response to the CXCR4 ligand CXCL12. Nevertheless, little else is known about the nature of CXCR4 mutations. Detailed signaling studies aimed at clarifying CXCR4 WHIM dysregulated signaling, including G-protein receptor transactivation, beta-arrestin and GRK recruitment, and impact on downstream growth and survival signaling may help advance our understanding of the relevant biology and therapeutic exploitation in WM.

Recent published clinical trial data on a small subset of WM patients treated with the BCL-2 inhibitor venetoclax look promising, and ibrutinib and venetoclax combinations are showing substantial responses in chronic lymphocytic leukemia. Additional understanding of the depth of response and potential resistance mechanisms to therapies that control the apoptotic pathways is needed.

- Immunology/Immunotherapy:

Research to understand the biology of the immune response, in particular the anti-tumor immune response in WM, is vitally important, and clinical approaches to optimize the immune response need to be tested. Continued research characterizing the immune microenvironment in WM and an understanding of immune cell trafficking are needed. Specific knowledge gaps include understanding T effector cell exhaustion, determining the effect of immune checkpoint inhibitors, and defining the role of other immune cells, including NK cells and mast cells. Studies to identify high-risk WM patients who would most benefit from immune therapies, such as CAR T-cell therapy or immune checkpoint therapy, are needed. Exploration of neoantigens is needed, as this research may lead to novel immunotherapies.

- Bone Marrow/Tumor Microenvironment:

The role of the bone marrow and tumor microenvironment in supporting malignant cell growth and promoting resistance to therapy in WM requires additional focused research. Studies are required to better characterize the components of the tumor/bone marrow microenvironment in WM. A better understanding of the contribution of the microenvironment to disease progression (such as progression from IgM MGUS to WM) and resistance to treatment remains an important goal, as does an evaluation of the nature of the crosstalk between WM tumor cells and the associated microenvironment, including the effects of the stroma on immune cells. The development of a better model

system of the bone marrow microenvironment to understand interactions between WM cells and the microenvironment is needed.

The International Waldenstrom's Macroglobulinemia Foundation (IWMMF)

The IWMMF is a patient-founded and volunteer-led, nonprofit organization that is dedicated to a simple but compelling vision: Support everyone affected by Waldenstrom's macroglobulinemia (WM) while advancing the search for a cure.

The IWMMF currently has a worldwide membership of over 16,000, with Support Groups and affiliate organizations on virtually every continent.

Today the IWMMF:

- provides support to patients and their caregivers
- enables patients to communicate with one another
- sponsors patient educational forums about WM that feature prominent physicians and researchers
- publishes booklets and fact sheets on WM and its treatment
- supports research aimed at improving treatments and ultimately, finding a cure for WM
- has invested almost \$14 million dollars in research on WM since 1999

For more information, visit the IWMMF website at <http://www.iwmmf.com>

The Leukemia & Lymphoma Society (LLS)

LLS is a US-based foundation focused on developing, and providing access to, therapies to cure or control leukemia, lymphoma, Hodgkin's disease, and myeloma as well as improve the quality of life of patients and their families. The organization has funded blood cancer research for the past 60 years to strive toward these goals.

For more information, visit the LLS website at <http://www.lls.org>

IWMMF Research and the Strategic Research Roadmap

The IWMMF supports research to understand the biology of WM, with the goals of improving quality of life for WM patients, discovering new treatments, and ultimately, finding a cure.

IWMF funding for research has helped to provide insight into understanding the basic biology and genetics of WM. This research in turn has played a significant role in the development of treatments and treatment guidelines in current use, as well as potential new drugs still in the pipeline.

On May 16-17, 2015, distinguished WM researchers and officers from the IWMF and the LLS met for a Strategic Research Roadmap Summit in New York City to determine the next phase of research priorities focused on improving our understanding of WM. Based on discussions during the meeting, the Scientific Co-Chairs, Dr. Stephen Ansell of Mayo Clinic in Rochester and Dr. Steven Treon of Dana-Farber Cancer Institute, defined in more detail the four priority areas where additional research is needed to advance our knowledge of WM. Subsequent Summits held in May 2016 and October 2017 have reaffirmed these priorities, and additional Summits are planned annually to assess the progress of the Roadmap Initiative.

Under the Roadmap Initiative, the IWMF will award Roadmap grants for 2-4 new research proposals each year, depending on funding availability. Each project shall be 2 years in length, at a cost of up to \$200,000 per year per project.

How to Apply for a Research Grant

The grant application process for the Strategic Research Roadmap Initiative will follow standards that already exist for previous IWMF-funded research grants, as well as NIH review guidelines:

Submissions: An application for a research project can be submitted within the Strategic Research Roadmap Initiative via email (timelines and addresses listed below). The project description, significance, Aims, and scientific approach should not exceed 12 pages in length and follow the guidelines noted below and also located on the IWMF website at www.iwmf.com/research/applying-research-grant. Additional pages should include references, biographical sketches, detailed budget with justification, list of other projects, and appendices as necessary. Following a review process that may take up to four to six months, awards will be made to successful applicants.

Who can apply: Applicants must hold an MD, PhD, or equivalent degree and work in domestic or foreign non-profit organizations, such as universities, colleges, hospitals, or laboratories. Multi-institutional applications are encouraged. Applicants should have an independent research or academic position. Applicants need not be US citizens, and there

are no restrictions on applicant age, race, gender, or creed. Applications from non-academic facilities, postdoctoral positions, and the National Institutes of Health are not eligible.

Review Process: Research proposals are reviewed by an independent Strategic Research Roadmap Review Committee, composed of selected members of the IWMF Scientific Advisory Committee (SAC) and other experts in the field. This committee may in turn respond to the research proposal applicant(s) with questions and/or request clarification regarding certain aspects of the proposal itself. The proposals are ranked according to NIH review criteria and forwarded to the IWMF Board of Trustees and the LLS Oversight Committee, where applicable. Generally speaking, at this stage a decision to fund a proposal is based on funding availability. Applicants will be notified by the IWMF as soon as a decision is made.

Payment Policy: The IWMF Treasurer will pay a pro rata amount for six months at the start of the project. Future payments will be made at designated six month intervals after each Interim or Final Progress Report and accompanying Lay Summary has been received and the IWMF Research Committee has reviewed it for satisfactorily meeting the IWMF reporting requirements (see below). Ten percent (10%) of the payment for any Interim or Final Progress Report and accompanying Lay Summary may be withheld if the IWMF Research Committee determines that the Report does not meet the reporting requirements. This withheld amount will be released after the reporting requirements are met.

Reporting Requirements: Progress Reports are required to be submitted to the IWMF by the Principal Investigator every six months for the duration of the project. Interim Progress Reports must be submitted no later than 30 days after the six-month period ends. Such Progress Reports will describe the activities and results with respect to each specific Aim that has occurred during the preceding six-month period. Each Progress Report will include a proposed path forward over the next six-month period. Project Aims should not be changed during the research process without prior notification, justification, and agreement of the IWMF Research Committee. A Final Progress Report which describes the results and findings as they relate to the stated goals of the project for the full term of the project is required no later than 45 days after the project ending date. The Principal Investigator should expect on occasion to receive requests for clarification of Progress Reports. A Lay Summary must accompany each Interim Progress Report and the Final Progress Report. The reports must be submitted in Microsoft Word or PDF file format. A final detailed expenditure report must also be sent no later than 90 days after the project ending date.

Budget

A detailed budget and budget justification should provide itemized detail for each major category for all the years of the program. This budget can be summarized for year one and extrapolated for the remaining year. All totals and subtotals should be included. The maximum annual total costs (direct and indirect) cannot exceed \$200,000. The aggregate costs over two (2) years cannot exceed \$400,000.

Permissible direct costs include the following with the specified limitations:

- Personnel expenses including salary, wage, or stipend with fringe benefits.
- In total, no more than forty percent (40%) of the direct costs may be requested for the salary and fringe benefit expenses of professional staff with a post-graduate degree (i.e. MD, PhD, DVM) regardless of function or role. This restriction does not apply to technical staff (lab assistants, nurses, etc.).
- Supplies and materials requests should be itemized by category.
- Equipment purchase requests must identify each item of equipment with an acquisition cost of more than \$500.
- Travel expense requests cannot exceed \$1000 per year of the award.
- Other direct cost requests can include patient care costs.

Permissible indirect costs (often referred to as institutional overhead, IDC, M&A, G&A, or pooled costs) are those costs incurred for common or joint objectives that cannot be readily identified with a particular project (general maintenance, utilities, library, etc.). Indirect costs are limited to eight percent (8%) of total direct costs. For sponsoring institutions that do not choose to use these funds for indirect costs, these funds can be applied to the Grantee's/Principal Investigator's stipend or fringe benefits cost.

Impermissible costs include membership dues, tuition, books, journals, and publication costs.

Review Criteria

An application will be judged on these criteria:

- The probability of an advance in prevention, diagnosis, or treatment in the near-term.
- The conceptual basis upon which the proposal rests.
- The novelty of the concept and strategy.

- Thoughtful and clear presentation.
- The overall plan for bringing the research findings to clinical application.
- Experience, background, and qualifications of investigator(s).
- Adequacy of resources and environment (facilities, data management, data analysis, etc.).
- Adequacy of provisions for protection of human subjects.

Timeline

Email Call for Proposals	November 15, 2018
Application Deadline	February 13, 2019, 3:00 PM ET
Review of Submitted Applications Completed	May 2019
Notification of Awards	June 2019
Anticipated Funding Start Date	August-October 2019

Submit All Correspondence to

All proposals and other correspondence regarding the Roadmap should be sent to the following two individuals:

- Dr. Tom Hoffmann, IWMF Research Committee, thoffmann@iwmf.com
- Jennifer Silva, IWMF Operations Manager, jsilva@iwmf.com

The IWMF Office will acknowledge receipt of each proposal within one business day via email. If you do not receive such an acknowledgment, please contact Jennifer Silva, IWMF Operations Manager, at jsilva@iwmf.com or call the IWMF Office at 941-927-4963.